## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Patent Application Serial No	10/053,201
Filing Date	January 15, 2002
Inventor	naity Roselibely et al
Assignee	Honeywell International Inc.
Group Art Unit	
Evaminer	P. Dang
Attorney Docket No.	34593-CON1
Till Table Cartesian Toront and	Mothod of Manufacture

Title: Tantalum Sputtering Target and Method of Manufact

RECEIVED **CENTRAL FAX CENTER** 

MAR 2 5 2004

**Commissioner for Patents** PO Box 1450 Art Unit 2818 Alexandria, VA 22313-1450

### CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 CFR 1.8

I hereby certify that the following papers are being facsimile transmitted to the Patent and Trademark Office at (703) 872-9306 on the date shown below:

Certificate of Facsimile Transmission 1.

Transmittal Form PTO/SB/21

Supplemental Information Disclosure Statementy PTO-1449

Gail Wright

Telephone No. (509) 624-4276 Facsimile No. (509) 838-3424

NUMBER OF PAGES IN FACSIMILE: 5

PTO/SB/21 (02-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Parement Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/053,201 Filing Date TRANSMITTAL January 15, 2002 FORM First Named Inventor Harry Rosenberg Art Unit 2818 (to be used for all correspondence after initial filing) Examiner Name P. Dang Attorney Docket Number 34593-CON1 Total Number of Pages in This Submission ENCLOSURES (Check all that apply) After Allowance communication to Technology Center (TC) Drawing(s) Fee Transmittel Form Appeal Communication to Board of Appeals and Interferences Licensing-related Papers Fee Attached Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Petition Amendment/Reply Petition to Convert to a Proprietary Information Provisional Application After Final Power of Attorney, Revocation Status Letter Change of Correspondence Address Affidavits/declaration(s) Other Enclosure(s) (please Terminal Disclaimer Identify below): Extension of Time Request PTO-1449 Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Customer No. 021567 Incomplete Application The Commissioner is hereby authorized to charge any additional fees required under 37 C.F.R. Section 1.16 and Section 1.17 and credit any overpayments Response to Missing Parts to account no. 23-0925. under 37 CFR 1.62 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT James E. Lake Reg. No. 44,854; Wells St. John P.S. Individual name Signature 200 Date 25 Mar CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Typed or printed name Gail Wright Signature This collection of information is required by 37 CER 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to

process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the grandering upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademerk Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SENO FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

#### WELLS ST. JOHN, P.S.

### CENTRAL FAX CENTER 5098383424

P.03/05

MAR 2 5 2004



#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No	
Filing Date	
Inventor	
Assignee	
Group Art Unit	
Examiner	
Attorney's Docket No	
Customer No	
Title: Tantalum Sputtering Target and Method of Manufactu	

# INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §§ 1.56, 1.97 AND 1.98

In compliance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, your attention is directed to the United States patents and other references listed on the attached Form PTO-1449. No admission is made regarding whether all the submitted references are prior art.

This Supplemental Information Disclosure Statement is being filed after the filing of a Request for Continued Examination (RCE) Application and before receipt of the first Office Action. Therefore, no fee is believed to be required. However, in the event that a fee is required for filing this Supplemental Information Disclosure Statement, please charge the fee specified under 37 C.F.R. §1.17(p) to Deposit Account No. 23-0925. Please credit Deposit Account No. 23-0925 with any overpayment of the above fee.

The listed references were cited by, or submitted to, the Office in the parent, co-pending application of the above-identified application. The above-identified application is a continuation application of co-pending application Serial No. 09/717,476, filed November 20, 2000. Such prior disclosure is sufficient for the above-identified application as far as copies of the references are concerned. 37 C.F.R. § 1.98(d) and MPEP § 609(2).

Citation of these references is respectfully requested.

Respectfully submitted,

Reg. No.

1AR-25-2004 14:28 Form PTO-1449				PATEI	.JOHN, P.S. EPARTMENT OF COMMENCE NT AND TRADEMARK OFFICE	34393-CON1		509838	201	<del></del>	
		IJST	OF ART CITED E	BY APPLICAN if goe===#y)	T.	APPLICANT Harry Rosenberg of al					
					FILING DATE January 15, 2002		GROUP 2818				
					U.S. PATENT DOCUMENTS	3		·			
*Examiner Initial		Document Number		Date	Nama	Nama		Subclass Filing Da If Appropri		Date opriate	
AA 4,128,421		121	12/05/1978	Marsh ot al							
	АВ	5,948,2	216	09/07/1999	Cava et el						
	AC	5,242,4	181	09/07/1993	Kumar	<del> </del>				·· -	
	AD					·				<u></u>	
	AE										
	AP						<u> </u>				
	AG						<u> </u>				
<u></u>	АН		•		<u> </u>		<u> </u>				
	AI								. :		
				T	FOREIGN PATENT DOCUMEN			Subclass	Tean	elation	
	Document Number	ment per	Date	Country	· 	Cless	Pubciase	Yes	No		
	LA.						-		_	-	
	AK			<u> </u>	<u></u>				L	<u>.                                      </u>	
		<del></del>	оπ	HER REFERENC	ES (Including Author, Title, Dal	te, Perlinant Pages, Eld					
		AL					·				
		AM						-	·		
		AN			<u> </u>						
		AO									
		AΡ		<u>-</u>					-		
	ļ	AO						·			
		AR	· · ·							<del></del>	
<u> </u>		AS		<u></u>							
		AT									
		ΑU			. 1			<del> </del>			
EXAMINER					DATE CONSIDE	ERED					